

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

09-20-01

A

**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))

Attorney Docket No. 1958.1005-001

First Named Inventor or Application Identifier James E. Carey

Express Mail Label No. EL551754370US

J1046 U.S. PTO 09/05/73

09/19/01

Title of Invention

COMPUTING SYSTEM FOR IMPLEMENTING A SHARED CACHE

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. [X] Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. [X] Specification [Total Pages [33]]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to microfiche Appendix
- Background of the Invention
- Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. [X] Drawing(s) (35 U.S.C. 113) [Total Sheets [10]]

- [X] Formal [] Informal
- [] Fig. of the Drawings for Publication []

4. [X] Oath or Declaration/POA [Total Pages [3]]

- a. [] Newly executed (original or copy)
- b. [X] Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
[NOTE Box 5 below]
 - i. [] DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. [X] Incorporation By Reference *(useable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. [] Microfiche Computer Program (*Appendix*)

7. [] Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. [] Computer Readable Copy
- b. [] Paper Copy (identical to computer copy)
[] Pages
- c. [] Statement verifying identity of above copies

8. [] Assignment Papers (cover sheet & documents)
[] Assignee -

9. [] 37 C.F.R. 3.73(b) Statement [] Power of Attorney
(when there is an assignee)

10. [] English Translation Document (*if applicable*)

11. [X] Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations

12. [] Preliminary Amendment

13. [X] Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. [] Small Entity [] Statement filed in prior application, status still proper and desired

15. [] Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. [X] Nonpublication Request (*check parent application*)

17. [X] Other: Remarks letter

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

[X] Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No.: 08/866,619

Prior application information: Examiner: Bataille, P. Group Art Unit: 2186

19. CORRESPONDENCE ADDRESS

NAME	Rodney D. Johnson HAMILTON, BROOK, SMITH & REYNOLDS, P.C.			
ADDRESS	Two Militia Drive			
CITY	Lexington	STATE	MA	ZIP CODE
COUNTRY	USA	TELEPHONE	(781) 861-6240	FAX (781) 861-9540

Signature

Date

September 19, 2001

Submitted by
Typed or Printed Name

Rodney D. Johnson

Reg. Number

36,558

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	1958.1005-001
	Application Number	
	First Named Inventor	James E. Carey

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	82 - 20* =	62	x \$ 18 =	\$ 1116
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	7 - 3** =	4	x \$ 80 =	\$ 320
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
			Total of above Calculations =		\$ 2146
			Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =		\$
			TOTAL =		\$ 2146
			Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =		\$
			Petition for Extension of Time Fee (37 C.F.R. 1.17) =		\$
			Assignment Recordation Fee = (only when filed with application)		\$
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent		TOTAL =		\$ 2146

1. Small entity status:

- a. A small entity statement is enclosed.
- b. A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. Is no longer claimed.

2. A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. A check is enclosed for \$ 2146 Please charge \$[] to Deposit Account No. 08-0380.

4. Other: _____

Signature		Date	September 19, 2001
Submitted by Typed or Printed Name	Rodney D. Johnson	Reg. Number	36,558

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

NONPUBLICATION REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	James E. Carey
	Attorney Docket No.	1958.1005-001
	Express Mail Label No.	EL551754370US
Title of Invention	COMPUTING SYSTEM FOR IMPLEMENTING A SHARED CACHE	

Pursuant to 37 C.F.R. § 1.213(a)(3), I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

The undersigned is an attorney/agent for applicant(s).

September 19, 2001
Date


Rodney D. Johnson
Registration No. 36,558
Telephone No. (781) 861-6240
Facsimile No. (781) 861-9540

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after that date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**